

# 7-12-01

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DOCKET NUMBER	ANTICIPATED CLASSIFICATION OF THIS APPLICATION:		PRIOR APPLICAT	JON 3 C. S.	11/0
	CLASS	SUBCLASS	EXAMINER	ART UNITE	
510015-261			Romeo, D.	1647	=

CERTIFICATE UNDER 37 CFR 1.10

'Express Mail' mailing label number: EL585707643US

Date of Deposit: July 11, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service 'Express Mail Post Office To Addressee' service

under 37 CFR 1.10 and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Amber Stewart Name;

## DIVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

**BOX PATENT APPLICATION** Commissioner for Patents Washington, DC 20231

Dear Sir:

This is a request for filing a divisional application under 37 CFR § 1.53(b) of Serial No. 09/552,988, filed on April 21, 2000, emittled "ENDODERM, CARDIAC AND NEURAL INDUCING FACTORS" by the following inventors:

Full Name Of Inventor	Family Name DeRobertis	First Given Name Edward	Second Given Name M.
Residence	City	State or Foreign Country	Country of Citizenship
& Citizenship	Pacific Palisades	California	U.S.A.
Post Office	Post Office Address	City	State & Zip Code/Country
Address	16958 Dulce Ynez Lane	California	California 90272 U.S.A.
Full Name	Family Name	First Given Name	Second Given Name
Of Inventor	Bouwmeester	Tewis	
Residence	City	State or Foreign Country	Country of Citizenship The Netherlands
& Citizenship	Heidelberg	Germany	

- 1.  $\boxtimes$ Enclosed is a true and correct copy of the prior application; including the specification, claims, drawings, oath or declaration showing the applicant's signature, and any amendments referred to in the oath or declaration filed to complete the prior application. (It is noted that no amendments referred to in the oath or declaration filed to complete the prior application introduced new matter therein.) The copy of the prior application is as follows: 24 pages of Specification, 15 Claims, 1 page of Abstract, 10 sheets of Drawings, 20 pages of Sequence Listing, 6 pages of Oath or Declaration, 5 pages of Revocation & Substitute Power of Attorney and 5 pages of Assignment.
- 2. Cancel in this application original claims 2-8 and 12-15 of the prior application before calculating the filing fee. (At  $\boxtimes$ least one original independent claim must be retained for filing purposes.)

The filing fee is calculated below:  $\boxtimes$ 

CLAIMS AS FILED

	CLAIN	AS AS FILED	Rate	Fee
Number of Claims Filed	In Excess of:	Number Extra	Rate	
				\$355.00
Basic Filing Fee		+		
Total Claims	50	= 0	x 9.00 =	\$.00
3	<del>-  </del>			
Independent Claims		= 0	x 40.00 =	\$0.00
1	- <u>                                    </u>	1/4 - 4/5 -		\$0.00
MULTIPLE DEPENDENT CLA	AIM FEE			\$355.00
TOTAL FILING FEE				

	$\boxtimes$	Small Entity Status was claimed in the prior application.
4.	$\boxtimes$	Payment of fees:  Attached is a check in the amount of \$355.00.  Please charge Deposit Account No. 16-2230.
5.	$\boxtimes$	The Commissioner is hereby authorized to charge any additional fees as set forth in 37 CFR §§ 1.16 to 1.18 which may be required by this paper or credit any overpayment to Account No. 16-2230.
6.		A set of formal drawings (sheets) is enclosed.
<b>7.</b> 4		Priority of application Serial No, filed on in, is claimed under 35 U.S.C. 119.
made summ		The certified copy has been filed in prior application Serial No, filed
5. Kail at' 6 tail 72 mil tim and 608 tail		The prior application is assigned of record to THE REGENTS OF THE UNIVERSITY OF CALIFORNIA on January 22, 2001 at Reel 011469 and Frame 0424.
	$\boxtimes$	The Power of Attorney in the prior application is to:
all the same		OPPENHEIMER WOLFF & DONNELLY LLP  2029 Century Park East, 38 <sup>th</sup> Floor  Los Angeles, CA 90067-3024
10.	$\boxtimes$	A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)
	$\boxtimes$	Fee for excess claims is attached.
11.		A petition and fee has been filed to extend the term in the prior application until A copy of the petition for extension of time in the prior application is attached.
12.		The inventor(s) in this application are less than those named in the prior application and it is requested that the following inventors identified above for the prior application be deleted:
13.	$\boxtimes$	Also Enclosed: Diskette containing Sequence Listing
14.	$\boxtimes$	Address all future communications to the <b>Attention of Charles Berman</b> (may only be completed by attorney or agent of record) at the address below.

OPPENHEIMER WOLFF & DONNELLY 2029 Century Park East, 38<sup>th</sup> Floor Los Angeles, CA 90067-3024 (310) 788-5000 By:

Name: Charles Berman Reg. No.: 29,249

CB/KVV/as

LA: 292628 v01 07/09/2001

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee:

De Robertis et al.

Examiner

Not yet assigned

Serial No.

09/552,988

Art Unit

1646

Filed

April 21, 2000

Docket:

510015-248

Due Date:

n/a

Title:

ENDODERM, CARDIAC AND NEURAL INDUCING FACTORS

#### **CERTIFICATE UNDER 37 CFR 1.8**

I hereby certify that this correspondence and identified enclosures are being deposited with the United States Postal Service, first class mail, postage prepaid, under 37 C.F.R. 1.8 on the date indicated, and is addressed to Box: Assignments, Commissioner for Patents, Washington, D.C. 20231 on January 17, 2001.

Amber Stewart

Box: Assignments

Commissioner for Patents Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

☐ Transmittal Sheet in duplicate containing Certificate of Mailing

Assignment of the invention to The Regents of the University of California and Recordation Form Cover Sheet

Check in the amount of \$40.00 to cover assignment recordation fee

Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 16-2230. A duplicate of this sheet is enclosed.

OPPENHEIMER WOLFF & DONNELLY LLP 2029 Century Park East, 38th Floor Los Angeles, CA 90067-3024

(310) 788-5000

Name: Charles Berman

Reg. No.: 29,249

CB/as

By: